

Yu Shan, Ph.D., FRM

John Molson School of Business, Concordia University
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ACADEMIC APPOINTMENT	John Molson School of Business, Concordia University, Montreal, Canada Assistant Professor of Finance, 2019 – present
EDUCATION	Baruch College, New York, United States Ph.D. in Finance, 2014 – 2019 University of Southern California, Los Angeles, United States M.Sc. in Financial Engineering, 2012 – 2014 Central University of Finance and Economics, Beijing, China B.A. in Finance, 2008 – 2012
RESEARCH INTERESTS	Banking, Financial Intermediation, FinTech, Social Network, Systemic Risk, Managerial Behavior, Corporate Social Responsibility, Consumer Finance
RESEARCH PAPERS	<ol style="list-style-type: none">1. “Do FinTech Lenders Fill the Credit Gap? Evidence from Local Mortgage Demand Shocks” with Linda Allen and Yao Shen<ul style="list-style-type: none">– R&R at Journal of Financial and Quantitative Analysis– Best Paper Award, China International Risk Forum (2021)– CICF (2021), International Banking, Economics, and Finance Association Summer Meeting (2021), China International Risk Forum (2021), NFA (2019), FMA (2019), FMA Europe (2019), EEA (2019), Fordham University Global PhD Colloquium (2019)2. “Social Networks and Supply and Demand on Online Lending Marketplaces” with Linda Allen and Lin Peng<ul style="list-style-type: none">– MFA (2021), SWFA (2021), China International Risk Forum (2021), CICF (2019), Summer Institute of Finance Conference (2019), Sun Yat-Sen University-Geneva Finance Research Institute Finance International Conference (2019), University of Hong Kong FinTech Conference (2019), FMA Europe (2019), SWUFE-Baruch Research Symposium (2019); ADBI-CUHK-SFI FinTech, Social Finance, and Financial Stability Conference (2018)3. “Cutting Operational Costs by Integrating Fintech into Traditional Banking Firms” with Linda Allen, Yi Tang, and Alev Yildirim<ul style="list-style-type: none">– Under Review at The Accounting Review– AFA (2022), SFA (2021), China International Risk Forum (2021), World Finance Conference (2021), FMA (2020), Villanova WiFi (2020), International Risk Management Conference (2020), Fordham University Fintech Conference (2018)

4. “Intangible Customer Capital and Bank Resilience” with Joel F. Houston and Hongyu Shan
 - CICF (2021), FMA (2021), Dayton Summer Finance Workshop (2021), Financial Markets and Corporate Governance Conference (2021), Shanghai Lixin Virtual Conference on New Frontiers in the Interdisciplinary Research of Finance (2021)
5. “How Do Managerial Styles Differentiate Banks’ Reaction to Systemic Crises?”
 - EEA (2019), EFA (2019), FMA (2018), FMA Europe (2018), FMA Asia Doctoral Student Consortium (2018), FMA Doctoral Student Consortium (2017), FMA Special Ph.D. Presentations (2017)
 - Semifinalist for Best Paper Award, FMA (2018)
 - Shortlisted for Best Paper Award, Financial Markets & Corporate Governance Conference PhD Symposium (2018)
6. “Customer Relationships, Soft Information Acquisition, and Small Business Lending” with Hongyu Shan

RESEARCH GRANTS

Faculty Research Development Program, Concordia University, CA\$15,000, 2019–2022
 Professional Development Allowance, Concordia University, CA\$2,400, 2019–2022
 University Travel Grant, Concordia University, CA\$1,700, 2019–2022

TEACHING EXPERIENCE

Instructor, John Molson School of Business, Concordia University
 – MSCA 632: Seminar on Special Topics in Finance – Banking (Master)
 – FINA 481: Management of Financial Institutions (Undergraduate)

Instructor, School of Management and Economics, CUHK-Shenzhen
 – FIN 3060: Banking (Undergraduate)
Raw Score: 5.57/6; Adjusted Score: 5.76/6

Instructor, Zicklin School of Business, Baruch College
 – FIN 3000: Principles of Finance (Undergraduate)

Teaching Assistant, Zicklin School of Business, Baruch College
 – ACC 2101: Principles of Accounting (Undergraduate)
 – FIN 4808: Risk Management in Financial Institutions (Undergraduate)
 – ECON 1002: Macroeconomics (Undergraduate)

PRESENTATION AND DISCUSSIONS

Presentations (* by coauthor; scheduled presentations included):

American Finance Association

2022

China International Conference in Finance (2), Dayton Summer Finance Workshop, World Finance Conference*, International Banking, Economics, and Finance Association Summer Meeting, China International Risk Forum (3), Midwest Finance Association, Southwestern Finance Association, Financial Management Association, Southern Finance Association, Shanghai Lixin Virtual Conference on New Frontiers in the Interdisciplinary Research of Finance, Florida State University*, Concordia University, Financial Markets & Corporate Governance Conference, Peking University HSBC Business School*, Fudan University*, Shanghai Jiao Tong University*, China Europe International Business School*, New York University Shanghai*, Nanjing University*, University of Technology Sydney*

2021

Villanova University*, International Risk Management Conference*, Financial Management Association*, Hebrew University of Jerusalem*, University of Florida*, Fordham University*, Baruch College*

2020

Northern Finance Association, Eastern Finance Association, Financial Management Association, Financial Management Association Europe*, Eastern Economic Association (2), Summer Institute of Finance Conference*, China International Conference in Finance*, University of Hong Kong FinTech Conference*, Sun Yat-sen University Finance International Conference Joint with Geneva Finance Research Institute*, Shanghai University of Finance and Economics, University of International Business and Economics*, Fordham University Global PhD Colloquium, SWUFE-Baruch Research Symposium

2019

Fordham University Fintech Conference*, Wharton Research Data Services, ADBI-CUHK-SFI FinTech, Social Finance, and Financial Stability Conference, Financial Management Association, Financial Management Association Europe, Financial Management Association Asia, Chinese University of Hong Kong-Shenzhen, Baruch College, Concordia University, Financial Management Association Doctoral Student Consortium, Financial Management Association Special Ph.D. Paper Presentations

2017 – 2018

Discussions:

Second Sustainable Finance Forum (2021), Midwest Finance Association (2021), Financial Management Association (2018(2), 2019, 2021), China International Risk Forum (2021), Southwestern Finance Association (2021), Financial Markets & Corporate Governance Conference (2021), Shanghai Lixin Virtual Conference on New Frontiers in the Interdisciplinary Research of Finance (2021), Baruch College PhD Project Research Symposium (2019(2)), Financial Management Association Europe (2018)

PROFESSIONAL SERVICES

Conference Program Committee Member

SFA (2021), FMA (2019, 2021), FMA Europe (2019), FMA Asia (2019), FMA Applied Finance Conference (2018, 2019), EFA (2019), FMA Latin America (2019)

Journal Referee

Journal of Empirical Finance; Journal of Corporate Finance; Journal of Credit Risk; The Financial Review; International Journal of Finance; Research in International Business and Finance; International Journal of Electronic Finance

OTHER SERVICES	Evaluation Committee Member	2021 – present
	Sam and Diana Scalia Sustainable Built Environment and Real Estate Program, Concordia University	
	Advisory Search Committee for Chair	2020 – 2021
	Department of Finance, Concordia University	
	Research Committee Member	2020 – present
	Jonathan Wener Centre for Real Estate, Concordia University	
	Research Seminar Organizer	2020 – 2021
	Department of Finance, Concordia University	
STUDENT SUPER- VISION	Co-supervisor of Gurmanak Singh and Xibai Mu, MSc in Finance, Concordia University	
INDUSTRY EXPERIENCE	Industrial Research Analyst	2012 – 2012
	Deloitte Touche Tohmatsu CPA Ltd., Beijing, China	
SKILLS AND QUALIFICATIONS	Certified Financial Risk Manager (FRM) Research Databases: Y-9C, Call Reports, DealScan, Execucomp, Bankscope, SDC, PrivCo, COMPUSTAT, CRSP, Datastream, Bloomberg, I/B/E/S, Freddie Mac, Fannie Mae, FHA, HMDA, AuditAnalytics, National Information Center, FDIC Summary of Deposits, Capital IQ, Facebook, LendingClub, RateWatch, RepRisk Programming: SAS, STATA, Python, R, Matlab Office Productivity: L ^A T _E X, MS Office Languages: Chinese (Native), English (Fluent), French (Elementary)	
HONORS AND AWARDS	Best Paper Award, China International Risk Forum	2021
	Oscar Lasdon Memorial Award for Best Dissertation, Baruch College	2019
	Outstanding PhD Student Paper Award, Fordham University	2019
	Beta Gamma Sigma, Baruch College	2019
	Graduate Center Doctoral Student Fellowship, CUNY	2014 – 2019
	Doctoral Student Research Fellowship, Baruch College, CUNY	2014 – 2019
	Doctoral Student Tuition Award, Baruch College, CUNY	2014 – 2017
	Graduate Assistantship (Top Tier), CUNY	2014 – 2019
	Summer Research Fellowship, Baruch College, CUNY	2014 – 2019
	M.S. Honor Program, University of Southern California	2013 – 2014
	Honor Scholarship for Academic Achievements, Central University of Finance and Economics	2012
AFFILIATION AND HONOR SOCIETIES	AFA, EFA, WFA, NFA, FMA, CFA Institute, GARP	