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APPENDIX 9A - INVENTIONS MADE WITHOUT INVENTIVE CONTRIBUTIONS OF A CUFA MEMBER

DECLARATION OF INVENTION

The purpose of this form is to notify the University of your potential Invention and any relevant sponsorship and publication history. This form should be submitted when something new and useful has been conceived or developed, or when unusual, unexpected or unobvious research results have been achieved and can be used. Please attach additional pages where space provided for answers is insufficient.

PART I

The term, Invention, means any new and useful art, process, machine, manufacture or composition of matter, living organisms, any technical innovation or discovery, including those related to computer programming, or any new and useful improvement in any art, process, machine, manufacture or composition of matter, any technical innovation or discovery, including, without limiting the foregoing, recombinant or genetic processes, or compositions involving biological materials.

(In deciding upon a title for the Invention, please use a brief descriptive title to assist in identifying the Invention).

Title of Invention :

Declarant's Name:	Declarant's Department:

Date of Declaration:

An Inventor is defined as any person who makes, discovers, or is directly responsible for an Invention, in whole or in part. In the context of the Invention which is being declared, "Inventor" shall refer to any Co-Inventor where there is more than one Inventor.

A Co-Inventor means any employee or student of the University, or any person from outside the University, who has made an inventive contribution to the Invention identified in this Declaration of Invention. Failure to identify and disclose all Co-Inventors will result in the delay or even denial of patent protection, since it may result in competing patent applications.

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Section 1. Concordia Inventors (including Declarant)

Please list all Concordia-based Inventors (Concordia Inventors). Please reproduce this page 2 as often as required if there are more than two Concordia Inventors.

INVENTOR			
Name		Citizenship	
Title & Department			
Addresses			
Home			
University			
Telephone Numbers			
Home		University	
Fax :		E-mail	

INVENTOR			
Name		Citizenship	Canadian
Title & Department			
Addresses			
Home			
University			
Telephone Numbers			
Home		University	
Fax :		E-mail	

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Section 2. Inventors External to Concordia

Please list all Inventors external to Concordia, that is to say any Inventor who is not an employee, staff or student of Concordia. Please reproduce this page 3 (Inventors External to Concordia) as often as required if there are more than two Inventors external to Concordia.

EXTERNAL INVENTOR			
Name		Citizenship	
Company/ University			
Addresses			
Home			
Company/ University			
Telephone Numbers			
Home		University	
Fax		E-mail	

EXTERNAL INVENTOR			
Name		Citizenship	
Company/ University			
Addresses			
Home			
Company/ University			
Telephone Numbers			
Home		University	
Fax		E-mail	

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B.	<p>If there has been funding from any source, please provide the identity of that source of funding, the name of the project targeted by the funding, the reference number for the contract/grant which governs the project and the name of the principal investigator for the project:</p>	
C.	<p>Did any of the funding require assignment of any intellectual property rights associated with the Invention?</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
	<p>If so, please provide all details and copies of all related documents:</p>	
D.	<p>Have any of the Inventors signed a waiver of ownership?</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
	<p>If so, please provide all details and copies of all related documents:</p>	
E.	<p>Has the Invention been declared to the sponsor(s)?</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
	<p>If so, please provide all details and copies of all related documents:</p>	
F.	<p>Is this Invention a "Qualifying Invention" or an "Independent Invention" as these terms are defined under the Policy on Intellectual Property?</p>	<p><input type="checkbox"/> Qualifying Invention <input type="checkbox"/> Independent Invention</p>
G.	<p>If an "Independent Invention", does the Declarant want to enter into an agreement with the University to commercialize the Invention ?</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
	<p>If Yes, the Inventor shall enter into an Agreement with the University in the form Appendix 10C</p>	

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Section 5. Third-Party Proprietary Material, Software, etc.

Third-Party technology	
Was this Invention developed with the use of any third-party proprietary biological, chemical or physical material or substance, or that relies on such material or substance?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Does the Invention incorporate or require proprietary third-party software?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If so, please provide all details and copies of all related documents:	

Section 6. Protection and Commercialization of an Invention

In the event the University, at its discretion, assumes the total financial and management responsibility for obtaining intellectual property protection of an Invention, the Concordia Inventor(s) , at the request of the University, shall do, make, execute or deliver, or cause to be done, made, executed or delivered, all such further acts, documents and things as the Office of Vice – President, Research and Graduate Studies may require, from time to time, to proceed with the protection and commercialization of the Invention.

Section 7. Signatures of Inventors

Please note that by signing this Declaration of Invention, the Inventors confirm that the information they have provided in parts I and II of the Declaration of Invention is true and accurate to the best of their knowledge. The Inventor(s) further acknowledge having read, understood and agree to be bound by the Policy on Intellectual Property [VPRGS-9](#).

Signatures of Inventor(s)			
_____	_____	_____	_____
Name	Date	Name	Date
_____	_____	_____	_____
Name	Date	Name	Date
_____	_____	_____	_____
Name	Date	Name	Date

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PART II

Title of Invention:

Section 9. Description of the Invention

Please provide: A) a brief description of the Invention which may be used for marketing purposes and must therefore not contain any confidential information (it should describe what the market applications are and what advantages it has over existing products). B) Please also provide a more detailed description which answers the questions identified in section B below.

Description of the Invention
A. Brief description of the Invention :
Detailed Description
B. Please attach a detailed description of the Invention which: a) includes any graphs, pictures, flowcharts, drawings and anything else that may help to illustrate the Invention and b) answers the following questions: <ul style="list-style-type: none">▪ What problem does the Invention solve?▪ How has the problem been dealt with up to now?▪ What are the limitations of any existing product or technology and how does the Invention overcome such limitations?▪ What are the original aspects of the Invention?▪ Can you suggest any immediate uses for the Invention? Do you foresee any future applications of the Invention and if so, what are they?▪ Can you provide references in published literature or patents that relate to the Invention?

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Section 10. Status of Invention at time of declaration

The purpose of this section is to determine the extent of development of the Invention and to what extent further development is required.

Development Status of Invention	
A. When was the Invention conceived? (For the sake of clarity, conception involves the formulation of the complete means for solving a problem. The mere recognition of a desirable result, or of a problem, or of a general approach to solving the same, without the formulation of the physical structure to accomplish that result or to solve the problem, will not suffice to constitute conception.)	_____ Date
B. When was the Invention first reduced to practice?	_____ Date
C. What is the extent of development of the Invention? (i.e. an idea, rough working model, prototype, etc.) .	
D. Is work on the Invention continuing? Are there limitations to be overcome or other tasks to be done prior to practical application? Are there any test data?	

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Section 11. Publications and other disclosures of the Invention

The answers provided to these questions will have a bearing on the ability to protect the Invention.

Disclosure of the Invention	
A.	<p>Has the Invention been disclosed by any of the Inventors to any other person or entity? (written or oral communication, publications, internal or external presentations, etc...)</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide the date: and, if in writing, a copy of the relevant documentation)</p> <p>If so, was it disclosed under the terms of a confidentiality agreement?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If so, please provide details:</p>
B.	<p>Do you intend to disclose the Invention in any way (including student theses) over the course of the next 6 months?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If so, when and to whom?</p>