Mechanically Adjustable and Electrically Gated Single-Molecule Transistors

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ABSTRACT

We demonstrate a device geometry for single-molecule electronics experiments that combines both the ability to adjust the spacing between the electrodes mechanically and the ability to shift the energy levels in the molecule using a gate electrode. With the independent in-situ variations of molecular properties provided by these two experimental "knobs", we are able to achieve a much more detailed characterization of electron transport through the molecule than is possible with either technique separately. We illustrate the performance of the device using C_{60} molecules.

A primary challenge in the field of single-molecule electronics^{1,2} is to develop adjustable devices that can enable wellcontrolled, systematic experiments. If one uses techniques that measure only a current-voltage (I-V) curve, it can be difficult to determine even whether a molecule is present between electrodes, because nonlinear transport across tunnel junctions or metallic shorts can easily be mistaken for molecular signals.³ Previous efforts to overcome this difficulty have employed two separate strategies for systematically adjusting a molecular device in situ to make changes that can be compared with theory. Electrostatic gating permits control of electron transport through a molecule by shifting its energy levels.^{4–7} Mechanical adjustability, using scanning probes^{8–11} or mechanically controlled break junctions,12-15 enables manipulation of the device structure and the strength of bonding to electrodes. Here we report the implementation of both electrostatic gating and mechanical adjustability within the same single-molecule device. This combined capability enables a detailed characterization of electrical transport in molecules, providing understanding that is not possible with just gating or mechanical adjustability separately.

Our scheme for combining electrostatic gating and mechanical adjustability is to add a gate electrode to a mechanically controlled break junction (MCBJ). An MCBJ^{16–19} consists of a narrow bridge of metal suspended above a flexible substrate (Figure 1(a,b)). By bending the substrate, one can break the bridge and then adjust the spacing between the resulting electrodes. The main challenge in fabricating an electrically gated MCBJ is to minimize the moleculegate spacing to enable useful gating. We use as our gate

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To fabricate the devices, we first grow a 250-nm thick SiO_2 film on top of a 200 μ m thick, degenerately doped silicon wafer. Using photolithography and a hydrofluoric acid etch, we open windows in this thick oxide film and grow a thinner 40 nm oxide for the device regions. We then use photolithography, electron-beam lithography and liftoff to pattern Au lines 32 nm thick and 500 nm long, with a 50-nm wide constriction in the middle, connected to larger area contact pads. The Au lines are positioned within the thin oxide windows while the contact pads lie on the thicker oxide regions. A timed buffered hydrofluoric acid etch is used to remove the SiO₂ from under the Au bridge, suspending it above the silicon substrate. A scanning electron microscope (SEM) image of a device before our Au bridge is broken is shown in Figure 1b.

We bend the substrate by placing a 15 mm long by 6 mm wide Si chip against two supports spaced 10 mm apart, and applying a pushing screw to the middle of the chip (Figure 1a). The fine-threaded screw (1/80 in. pitch) is driven by a stepper motor via a series of reducing gears (factor of 100 reduction). Each chip contains 36 devices, of which 16 can be wired bonded at once. The amount of bending allowed by the Si chip (~0.3 mm over 10 mm) is generally not sufficient to break the metal bridge by mechanical motion alone. Therefore we first break the wires partially or fully at 4.2 K using electromigration, a technique used previously to make nm-scale gaps for molecular transistors.^{20,4–7} Electromigration is accomplished by ramping a voltage across

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Figure 1. (a) Design of the experimental apparatus. (b) Scanning electron microscope image of a MCBJ sample with a silicon substrate gate, before breaking the gold bridge. The image was acquired at a 78° tilt angle to reveal the 40 nm spacing between the gold bridge and the substrate. (Inset) A sample that has been broken by electromigration at 4.2 K and subsequently warmed to room temperature. (c) Resistance of a bare Au tunnel junction versus source—drain displacement at 4.2 K, from which the amount of mechanical motion can be calibrated. (Inset) When an atomic-scale metal contact remains after electromigration, mechanical motion can be used to complete the process of breaking the wire.

the Au wire until the resistance increases. If the electromigration process is stopped when the sample conductance reaches a few times e^2/h , mechanical motion can be used to complete the process of breaking the wire, resulting in the stepwise reduction in conductance as observed in other MCBJ devices¹⁹ (inset of Figure 1c). Electromigration can also be used to break the wires completely, giving typically device resistances ranging from 100s of k Ω to 100s of M Ω .

To calibrate the motion, we performed measurements at 4.2 K on bare Au electrodes after electromigration was used to fully break the wires. The junction resistance increases smoothly upon bending the substrate (Figure 1c). The resistance of a tunnel junction is expected to depend on the width x of the tunneling barrier approximately as²¹

$$R \propto e^{2\kappa x}$$
 $\kappa = \frac{\sqrt{2m_e\phi}}{\hbar}$ (1)

where $\phi = 5.1$ eV is the Au work function and $m_{\rm e}$ the

electron mass. From the data in Figure 1c, we extract a calibration of 5.57 ± 0.06 pm per full rotation of the stepper motor. The average over our devices is 5.4 ± 0.3 pm/turn. The full range of motion available before the substrate breaks is generally 5 Å. A few devices (not included in the average) exhibited much less motion per turn, together with large gate leakage currents (> 1 nA at a gate voltage $V_g = 1$ V). In SEM images, these were identified as collapsed bridges.

If the substrate bends uniformly, the expected source-drain displacement is $^{19}\,$

$$\delta x = \frac{3ut}{L^2} \delta y \tag{2}$$

where *u* is the length of the suspended bridge (500 nm), *t* is the wafer thickness (200 μ m), *L* is the distance between the two support posts used for bending (10 mm), and δy is the pushing screw displacement (3.1 μ m per full rotation of the stepper motor). This formula predicts $\delta x = 9$ pm per full rotation, within a factor of 2 of the calibration result. The difference is similar to results for other MCBJs^{16–19} and can be ascribed to uncertainties in the tunnel barrier height or to nonuniform bending of the substrate.^{19,22}

To characterize the performance of the gate electrode, we must insert into the device a molecule with low-lying energy levels, which can be shifted by V_g to modulate current flow. We chose C₆₀ molecules because they have been used successfully to make single-molecule transistors.^{4,7} To fabricate C₆₀ devices, we first clean unbroken Au wires in acetone, 2-propanol, and oxygen plasma. We deposit a 0.2 mM solution of C₆₀ in toluene and blow dry after 30 s. Then we cool to 4.2 K and perform electromigration until the device's conductance falls below a quantum of conductance. In approximately 30% of samples, one or more C_{60} molecules bridge between the electrodes.⁴ We identify these samples as the ones whose I-V curves display Coulomb-blockade characteristics, with nonnegligible current only for |V| greater than threshold values that depend on the gate voltage V_{g} . Control junctions formed from bare Au electrodes did not exhibit such Coulomb blockade characteristics. All the measurements that we will describe were conducted at 4.2 K.

The effect of V_g and V on conductance, at fixed sourcedrain displacement, is shown in Figure 2 for three samples at two different source-drain distances each. Figure 3a shows the corresponding Coulomb blockade I-V curves for sample 1 at $V_g = 2.5$ V. The dark regions on the left and/or right of each panel in Figure 2 correspond to low-current regions of Coulomb blockade. Bright regions denote large dI/dV, where the applied source-drain voltage provides sufficient energy for electrons to tunnel via the molecule and initiate current flow. The threshold V required for current flow depends on V_g , which shifts the energy of the molecular states with respect to the Fermi energy of the electrodes. The energy to add an electron to a molecular level can be tuned to zero for a particular value of gate voltage $V_g = V_{deg}$.

As can be seen in Figure 2, the sensitivity of the transport characteristics to V_g varies between samples. This is to be



Figure 2. Color scale plots of dI/dV versus *V* and V_g for three C₆₀ gated MCBJ devices at 4.2 K, each at two positions of sourcedrain displacement. x_0 represents the initial displacement. The relative displacements were determined using the bare Au calibration value, 5.4 pm/turn. (a–b) Sample 1. (c–d) Sample 2. (e–f) Sample 3. The color scale is logarithmic in (c,d) and linear in (a,b,e,f). Scans for samples 1, 2, and 3 were acquired every 0.16, 0.27, and 0.11 Å, respectively, and showed a smooth evolution with motion.

expected because the gate-molecule capacitance C_g will depend on the position of the molecule within the junction. The positive and negative slopes of the tunneling thresholds in Figure 2 correspond, respectively, to the ratios $C_g/(C_g + C_d) \approx C_g/C_d$ and C_g/C_s , where C_s and C_d are the moleculesource and molecule-drain capacitances. From the measured slopes in Figure 2, we find ratios of C_d/C_g and C_s/C_g between 2200 and 57. Our smallest value of $(C_s + C_d)/C_g$ is 148, and the largest values are several thousands. The largest gate voltage that we can apply routinely is ± 12 V, after which the devices fail due to oxide breakdown under the electrode



Figure 3. (a) Current-voltage curves for sample 1 at different source-drain displacements, $V_g = 2.5$ V. (b) C_s/C_g (open symbols) and C_d/C_g (filled symbols) as a function of source-drain displacement, for two samples. For sample 2 use right and top axes, and for sample 3 use left and bottom axes. (c) Sample conductance G = dI/dV versus source-drain displacement at large enough biases (V = 235 mV for sample 2, 150 mV for sample 3) that Coulomb blockade effects are not significant. (d) V_{deg} as a function of mechanical motion for sample 2. (e) Color scale plot of dI/dV versus V and source-drain displacement for sample 3, with $V_g = 0$.

pads. Given this range of $V_{\rm g}$, we are able to shift the quantum states on a C_{60} molecule by an energy as large as $\Delta E = e[C_{\rm g}/(C_{\rm s} + C_{\rm d} + C_{\rm g})] \Delta V_{\rm g} = 160$ meV.

The capability to manipulate the energy levels on the C_{60} molecules with a gate allows a detailed analysis of the changes caused by mechanical displacement, providing understanding that is not achievable with either motion or gating alone. First, note in Figure 2 that mechanical motion can change the slopes of the tunneling thresholds. This is a consequence of changes in C_s and C_d . In Figure 3b we plot $C_{\rm s}/C_{\rm g}$ and $C_{\rm d}/C_{\rm g}$ for samples 2 and 3. For sample 2, both capacitance ratios change with motion, but for sample 3 only the drain capacitance varies significantly between 0 and 0.8 Å displacement, indicating that the motion predominantly modifies the molecule-drain distance. In this way, the combined capabilities of electrostatic gating and mechanical motion allow one to distinguish qualitatively different motions of the molecule within the junction during electrode displacement.

A second change caused by the mechanical motion is that the overall device conductance decreases with increasing

displacement (note Figure 3a and the color-scale changes in Figure 2). In Figure 3c, we plot as a function of displacement the conductances for samples 2 and 3 at large enough V that Coulomb blockade effects are negligible. For sample 3, for which the capacitance ratios indicated that only the moleculedrain distance changes significantly with displacement, the conductance decreases exponentially with distance, with a decay length approximately equal to that for bare Au junctions. For sample 2, for which we found from the capacitances that both the source and drain junctions change with displacement, the conductance change is not a single exponential and the rate of change is slower than for bare Au. This is consistent with a situation in which the conductances of the two tunnel junctions are comparable and the motion is divided between both junctions. The information from gate voltage measurements therefore provides an explanation for the different dependences of the conductance on electrode displacement.

A third type of change caused by the electrode displacement is perhaps less intuitive than the changes in capacitances and conductance. Moving the source and drain electrodes can shift the gate voltage corresponding to the degeneracy point in the Coulomb blockade curves (Figure 2c,d and Figure 3d). This effect demonstrates that the electrodes carry a charge even when V = 0, so that when they move they perform work and shift the energy of the molecular states relative to the Fermi energy of the leads. The tunneling threshold V can be changed by as much as 25 mV by a displacement of 1.2 Å (Figure 3e). Hence, these devices can exhibit transistor action controlled entirely by means of mechanical motion, as well as the ordinary electrostatic transistor action enabled by changing $V_{\rm g}$. Related energy shifts have been seen in scanning tunneling microscope experiments on metal nanoparticles,^{23,24} where it was suggested that they were related to a contact potential $\Delta \varphi$ between the electrodes and the nanoparticle,

$$\Delta V_{\rm deg} = \Delta \varphi \left(\frac{\Delta C_{\rm s} + \Delta C_{\rm d}}{C_{\rm g}} \right) \tag{3}$$

Our devices permit direct measurements of $(\Delta C_s + \Delta C_d)/C_g$, and we find that the measured values of ΔV_{deg} would correspond to different values of $\Delta \varphi$: -7 ± 5 mV for sample 1, 64 ± 3 mV for sample 2, and -29 ± 5 mV for a fourth sample. This means that ΔV_{deg} is not determined only by an intrinsic work function difference, but may also be influenced, for instance, by charged defects.

We have checked whether an applied gate voltage produces unwanted mechanical motion. For both bare Au junctions and C₆₀ devices with weak gate dependence, we have swept V_g in the range ± 12 V at fixed V and found less than 10% change in current, comparable to fluctuations due to charge rearrangements as we sweep V_g . Based on conductance versus displacement measurements on the same samples, this corresponds to an upper bound of 5 pm for V_g -induced displacements. Our gated MCBJs provide the capability to make measurements on molecules with simultaneous tuning of both the spacing between electrodes (over a range of 5 Å) and the energy levels (by 160 meV on a C_{60} molecule). We find that mechanical motion changes a molecule's capacitances, conductance, and Coulomb blockade degeneracy gate voltage. Gate voltage control permits detailed and mutually consistent characterizations of all three effects. We anticipate that this new experimental tool will enable systematic studies of fundamental questions such as how changes in molecular conformation affect electrical conduction and how transport in molecules varies as one tunes between the weak-coupling Coulomb blockade and strong-coupling Kondo regimes.⁵

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