



**Office of Research
SSH Partnership Grants Workshop**

Wednesday, September 21st, 2016

10:00 am – 12:00 pm

EV 2.776

Agenda

Overview of programs

- SSHRC Partnership Development / Partnership Grants
 - Program notes
 - Subject matter eligibility
 - Health-related research
 - Research-creation
 - Evaluation and adjudication
 - Insider tips
- Other related programs

Technical information and submission process

SSHRC Programs

SSHRC Partnership Development Grants

Agency Deadline: **Wednesday, November 30, 2016**

Funding: **\$ 75K to \$ 200K over one to three years**

Objectives:

- Develop research and related activities in the social sciences and humanities, including knowledge mobilization and the meaningful involvement of students and new scholars, by fostering new partnerships for research and related activities involving existing and/or potential partners; or
- Design and test new partnership approaches for research and/or related activities that may result in best practices or models that can be adapted by others or have the potential to be scaled up to a regional, national or international level.

Partnership Development Grants are expected to respond to the objectives of the Insight, Talent or Connection programs, or a combination thereof.

SSHRC Partnership Grants

Agency **LOI** Deadline: **Wednesday, February 15, 2017**

Full application **by invitation only**: Concordia U. is the applicant

Funding: **\$500K to \$ 2.5M over four to seven years**
(\$ 20K to develop full proposal)

Objective:

- Provide support for new and existing **formal** partnerships for initiatives that advance research, research training and/or knowledge mobilization through mutual co-operation and sharing of both intellectual leadership and cash and/or in-kind resources.

Partnership funding is intended for larger teams working in formal collaboration between postsecondary institutions and/or organizations of various types. Researchers working individually or in smaller, less formal teams are encouraged to apply to the Insight Program.

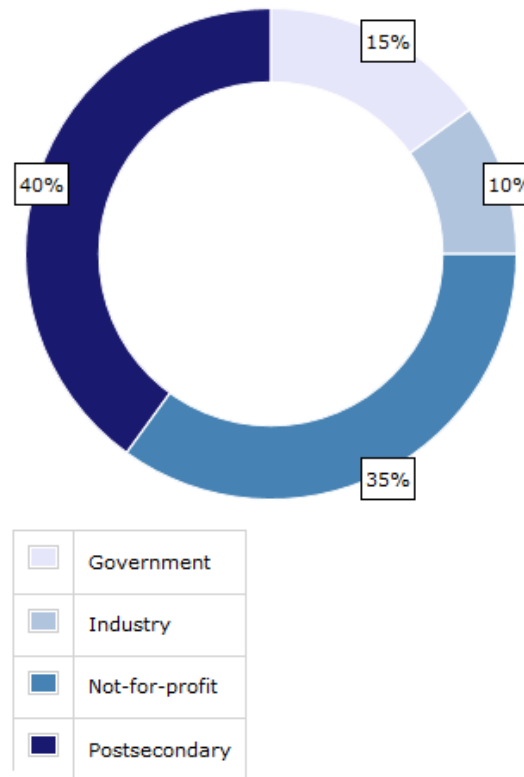
Key Features of Partnerships

- Partnerships are established through collaboration agreements between the host institution and one or more organizations.
- Partnerships may be between academic institutions only, or between one or more academic institutions and one or more non-academic partners.
- Partners agree to work collaboratively to achieve shared goals for mutual benefit, and must provide evidence attesting to this commitment.

Applicants and partners are expected to demonstrate that a formal partnership exists or will be developed, in part through the financial and non-financial commitments made by partners. For Partnership Grants, there is a minimum threshold of contributions for every SSHRC dollar given: 35%

Types of Partnerships

Breakdown of partner category for Partnership Grants and Partnership Development Grants (government, industry, not-for-profit, postsecondary):



Applying to PDG or PG

You may only submit one application **as PI** to either the PDG or the PG Grant within the same calendar year:

PG LOI Feb 2016 + PDG Nov 2016 = 

PDG Nov 2016 + PG LOI Feb 2017 = 

(The objectives must be different)

- No limit to the number of applications as a Co-applicant or Collaborator
- Grant holders may re-apply to the same funding opportunity in the final year of funding
- Automatic one-year extension for all grants

Subject Matter Eligibility

Six [Challenge Areas](#) (hyperlink), each with a range of possible but not exclusive issues and sub-questions, have been identified by SSHRC through its Imagining Canada's Future initiative:

- [What new ways of learning, particularly in higher education, will Canadians need to thrive in an evolving society and labour market?](#) (hyperlink)
- [What effects will the quest for energy and natural resources have on our society and our position on the world stage?](#) (hyperlink)
- [How are the experiences and aspirations of Aboriginal Peoples in Canada essential to building a successful shared future?](#) (hyperlink)
- [What might the implications of global peak population be for Canada?](#) (hyperlink)
- [How can emerging technologies be leveraged to benefit Canadians?](#) (hyperlink)
- [What knowledge will Canada need to thrive in an interconnected, evolving global landscape?](#) (hyperlink)

"In keeping with its tradition and mandate, SSHRC will continue to invest in SSH research addressing a broad range of topics, while also promoting and investing in the future challenge areas [...] SSHRC invites all applicants [...] to review [...] and to consider addressing one or more of these areas in their research proposal. **However, this will not be an evaluation criterion for merit review.** Funding decisions by SSHRC are based on the recommendations of the merit review committees and on available funds. **No dedicated funding is allocated for the future challenge areas.**" (We underline.)

Subject Matter Eligibility: Health-related Research

Guidelines set in 2009: The use of SSH theories, methodologies and hypotheses is, in and of itself, not sufficient to make a proposal eligible to compete at SSHRC.

INELIGIBLE

SSH research primarily intended to improve and/or increase knowledge of health, health care and health-care systems in Canada or internationally is **not eligible** for support from SSHRC.

MAY BE ELIGIBLE

Proposals **may be eligible** if there is no intent to directly or indirectly impact health, or if health is a subsidiary element in a study intended to increase our understanding of individuals, groups and/or societies.

Examples follow on next slide; for full list see web link below:

http://www.sshrc-crsh.gc.ca/funding-financement/apply-demande/background-renseignements/selecting_agency-choisir_organisme_subventionnaire-eng.aspx#af3

Subject Matter Eligibility: Health-related Research

Ineligible	Eligible
Socio-economic and cultural determinants of health	Comparative studies in which health is not the primary object of study (e.g., theory of mind in normal development and autism)
Health promotion (e.g., healthy eating, disease prevention, sexual education); Public health (e.g., workplace, food and water safety as they affect health status)	Use of physiological methods and/or approaches to improve learning, teaching and curriculum development, or investigate social/cultural processes and behaviors
Education and psychology research related to health service delivery (e.g., clinical/practical competencies, supervision, curriculum development)	Historical and/or archaeological research where there is no intent to influence health (e.g., biographies of health practitioners; health and hygiene in ancient civilizations)
Therapy, including use of performing/visual arts as an element of therapy	Cinematic or other artistic portrayals of illness in which health promotion is not a central aim
Health policy, management and law	Economic or management analysis unrelated to health care (e.g., impact of epidemics on the profitability of insurance companies)

Subject Matter Eligibility: Research-Creation

How SSHRC defines research-creation:

An approach to research that combines creative and academic research practices, and supports the development of knowledge and innovation through artistic expression, scholarly investigation, and experimentation.

The creation process is situated within the research activity and produces critically informed work in a variety of media (art forms).

Research-creation cannot be limited to the interpretation or analysis of a creator's work, conventional works of technological development, or work that focuses on the creation of curricula. The research-creation process and the resulting artistic work are judged according to SSHRC's established merit review criteria.

- Fields that may involve research-creation include, but are not limited to: architecture, design, creative writing, visual arts (e.g., painting, drawing, sculpture, ceramics, textiles), performing arts (e.g., dance, music, theatre), film, video, performance art, interdisciplinary arts, media and electronic arts, and new artistic practices.

Evaluation and Adjudication

Three main Evaluation Criteria assessed in different proportions:

Program	Challenge	Feasibility	Capability
Partnership Development Grant	50 %	20 %	30 %
Partnership Grant	40 %	30 %	30 %

Each criteria is sub-divided into sub-criteria (bullet points):

- Numeric scores (1-6) are assigned and an overall weighted numeric score calculated.
- Committees evaluate and score each sub-criterion, based on a scale of Unsatisfactory : below 3 | Satisfactory – Good : 3-3.9 | Good – Very Good : 4-4.9 | Very Good – Excellent : 5-6

Applications must receive a score of 3.0 or higher for each of the three criteria in order to be recommended for funding. Therefore, in your application, it is essential to address every single bullet point listed under the Evaluation Criteria.

Challenge – The aim and importance of the endeavour

- Originality, significance and expected contribution to knowledge
- Appropriateness of the literature review
- Appropriateness of the theoretical approach or framework
- Appropriateness of the methods/approach (including the **co-creation of knowledge**)
- [Quality of training and mentoring](#) to be provided to students, emerging scholars and other highly qualified personnel, and opportunities for them to contribute
- Potential influence and impact within and/or beyond the social sciences and humanities research community
- Potential for long-term viability and identification of progress indicators

Feasibility – The plan to achieve excellence

- Probability of effective and timely attainment of the proposed objectives
- Quality and genuineness of the formal partnership and associated management and governance arrangements and leadership, including involvement of partner organizations and others in the design and conduct of the research and/or related activities
- Appropriateness of the requested budget and justification of proposed costs
- Indications of other planned resources, including **leveraging of cash and in-kind support** from the host institution and/or from partner organizations
- Quality of knowledge mobilization plans, including effective dissemination and exchange, and **plans to engage within and/or beyond the research community**
- Strategies and timelines for the design and conduct of the activity/activities proposed

Capability – The expertise to succeed

- Quality, quantity and significance of past experience and published and/or creative outputs of the applicant and any team member relative to their roles in the partnership and their respective stages of career
- Evidence of contributions such as commissioned reports, professional practice, public discourse, public policies, products and services, experience in collaboration, etc.
- Evidence of contributions to the development of talent
- Potential to make future contributions

PDG+PG Committee Structure

SSHRC convenes four multidisciplinary and multisectoral committees comprised of 30 members in total:

Committee		
1	Social Development A	Education; Psychology
2	Social Development B	Criminology; Demography; Law; Political Science; Religious Studies; Social Work; Sociology
3	Economic Development	Economics; Management, Business, Administrative Studies; Industrial Relations; Geography, Urban and Regional Studies, Environmental Studies
4	Arts & Humanities	Anthropology; Archaeology; Fine Arts; Folklore; History; Library and Information Science; Linguistics; Literature, Modern Languages; Philosophy; Communications and Media Studies

PDG+PG Committee Roles

Chairs:

- Act as neutral facilitators to guide discussion and achieve consensus
- Ensure the committee carries out its work with fairness, thoroughness and integrity
- Manage the committee's time efficiently
- Ensure SSHRC's policies and procedures are observed (in cooperation with the program officer)
- Help ensure conflict of interest situations involving committee members are avoided
- Officially approve final scores and ranking

Members:

- Provide scores for each application assigned to them as Reader A, B, or C using the scoring system and evaluation criteria
- Confirm budget amounts for each application receiving a 3.0 or higher on each of the three criteria

PDG+PG Insider Tips

- Read every guideline and detail on the application carefully.
- Start early! Read successful applications. Have others read and comment on your grants - each section. Work together with your partners.
- Be clear and write a convincing and compelling rationale that contextualizes your project in terms of larger themes and issues. Conciseness, clarity and completeness throughout your proposal are critical.
- Your team: Who are they? Why are they there? Do you need them?
- Partnerships take time to grow. Build a track record of evidence.
- SSHRC is very concerned about students and mentoring.
- Do not spend all money on travel or equipment - or inflate budget!
- Take knowledge mobilization seriously - and think about a multi-pronged strategy for making your research public.

Recent update on Open Access

New tri-council policy on Open Access (since June 2015)

“To the extent possible, and in keeping with SSHRC’s endorsement of open access forms of knowledge dissemination, research results should be made openly available, through, for example, open access publications, websites, publicly accessible databases and/or institutional repositories. Grant holders must comply with the Tri-Agency Open Access Policy on Publications:”

(<http://www.science.gc.ca/default.asp?lang=En&n=F6765465-1>)

SSHRC’s Open Access overview: http://www.sshrc-crsh.gc.ca/about-au_sujet/policies-politiques/open_access-libre_acces/index-eng.aspx

Spectrum: <http://spectrum.library.concordia.ca/>

PDG+PG Success Rates

	Partnership Development Grants			Partnership Grants		
	Number of applications	Number of awards	Success rate	Number of LOIs / applications	Number of awards	Success rate
2011-2012	153	72	47%	151*	25	17%
2012-2013	157	58	37%	100 / 30	20	20%
2013-2014	154	57	37%	102 / 34	18	18%
2014-2015	128	51	40%	99 / 28	12	12%
2015-2016	143	51	36%	100 / 27	17	17%

**The number of LOIs is the only statistic available in FY 2011-2012.*

Other related programs

SSHRC Connection Grants

Agency Deadline: **4 cycles per year – Aug / Nov / Feb / May**

Funding: **\$ 7K to \$ 25K** for events; **up to \$50K** for other outreach activities (higher amounts for outreach may be considered if well justified). Administrative review normally within 16 weeks of receipt.

Objectives:

- Supports events and outreach activities geared toward short-term, targeted knowledge mobilization initiatives, e.g., networking, dissemination, exchange and co-creation of research-based knowledge.
- These activities may serve as a first step toward more comprehensive and longer-term projects potentially eligible for funding through other SSHRC funding opportunities.

Cash and/or in kind contributions (excluding registration fees) **equivalent to a minimum of 50% of amount requested from SSHRC** must come from other sources.

Fonds des services aux collectivités

Agency Deadline: **TBC**

Funding: **up to \$50K** for a 1-year or **up to \$100K** for a 2-year project

Objectives:

- Contribute to the social outreach of institutions of higher learning by supporting projects that fill urgent needs of community organizations that have little to no access to university expertise
- Transfer knowledge generated by university research towards community organizations: FSC does not fund research protocols nor research or community infrastructures

This program is offered through the Ministère de l'Éducation et de l'Enseignement supérieur. All applications must be submitted in French: it is therefore imperative to plan lead time to have your proposal translated before the internal deadline for submission.

FRQSC Research Team Support

Next competition: October 2017 TBC | Mandatory NOI: June 2017 TBC

Program objectives:

- Support concerted efforts to further develop research themes and increase research opportunities;
- Provide access to **joint infrastructure** thereby maximizing the theoretical, methodological and practical benefits of research;
- Build a research legacy by facilitating the integration of postdoctoral fellows and new faculty and optimizing research training opportunities for undergraduate and graduate students;
- Facilitate knowledge mobilization of research.

FRQSC Team Configuration

Two types of teams: **university** or partnership

University teams strive to implement a scientific program through the expertise of their academic membership.

Partnership teams will further build on this goal by fostering collaborations between academic members and members in practice settings. Practice settings may be public, community or private organizations. Partnership teams are expected to integrate the needs of practice settings in their scientific program and will also be required to submit letters of agreement or any other type of document attesting to the collaboration with the practice setting.

FRQSC Team Configuration (cont'd)

Three stages of development: **emerging, operational or renewing**

Emerging teams bring together researchers seeking to pool their expertise and focus on a specific and documented theme.

Emerging team funding is designed to provide means to organize and implement structuring activities to consolidate the scientific program and joint thematic research efforts of team members.

Note that this type of configuration is not designed for teams whose members have no previous collaborations between them prior to competition: FRQSC requires that all teams attest to past and current collaborations by filling out a form designed to that effect.

FRQSC Team Configuration (cont'd)

Operational teams can be established after a cycle of emerging team funding. However, operational team funding is not conditional upon previous emerging team funding: teams applying for the first time at FRQSC are eligible to this type of configuration.

Operational teams are expected to have already set out a scientific program and team members must have ongoing collaborative projects driven by this program.

Renewing teams have received at least one cycle of operational team funding and are also required to set out a scientific program. Ongoing collaborative projects between team members will be highlighted in a report filed with the team application.

FRQSC Base Financial Support (Per Year)

Number of regular members	Emerging	Operational	Renewing
4 to 6	\$30K	\$50K	
7 or 8		\$60K	
9 to 12		\$70K	
13 and over		\$80K	

These are maximum base amounts awarded for each type of configuration. **Note that emerging teams get the same base amount regardless of the number of regular members.** Funding cycles run from 2 years (emerging) to 4 (operational and renewing).

Eligible expenses	Comments
Salaries	
Scholarships and scholarship supplements	These <u>must not</u> constitute remuneration for work.
Course release (lead researcher)	
Compensation for study participants Professional fees for speakers and consultants	
Travel and accommodation	
Research material and supplies Computer supplies and database expenses Equipment	A maximum of \$800 per year is allowed for purchasing books and reference documents.
Production, publishing and printing Translation fees Telecommunications	

Operational and renewing teams are expected to have research grants at their disposal to carry out their research projects. All expenses linked to activities carried out as part of research projects (i.e. remuneration and travel for data collection and analysis) are thus ineligible. However, some costs may be incurred to launch or finalize research projects outlined in a team's program, to a maximum of 20% of a team's budget.

FRQSC Partnership Team Support (Per Year)

Type of expense	Emerging Team	Operational or Renewing Team
Supplement for college researchers	\$7K per college researcher	
Course release for college researchers	\$40K per college researcher (up to 50% of a full course load)	
Equipment	\$4K	\$9K
Inter-regional linkage <i>Travel and accommodation costs only</i>	\$3K per university Maximum of \$10K	
Knowledge transfer	\$10K	\$20K
Partnership linkage	n/a	\$40K

Technical Information and Submission Process

Which CV for which SSHRC competition?

Competition	CV	System
Connection + PG (Full Proposal) + PDG November 2016	SSHRC CV <u>PLUS</u> a 4-page attachment detailing Research Contributions <u>PLUS</u> an additional attachment detailing Relevant Experience	Old SSHRC portal
PG (LOI) February 2017		
	<u>No Common CV</u> required in Partnership or Connection proposals: These programs still adhere to the SSHRC CV, accessed through the old portal.	

Old SSHRC portal:

https://webapps.nserc.ca/SSHRC/faces/logon.jsp?lang=en_CA

Internal deadlines for submitting applications

TWO MILESTONES:

All grant applications are reviewed before their submission to external agencies. The scope of this review will vary according to the following timeline:

Up until 2 weeks prior to external deadline:

Full proposal review and consultation

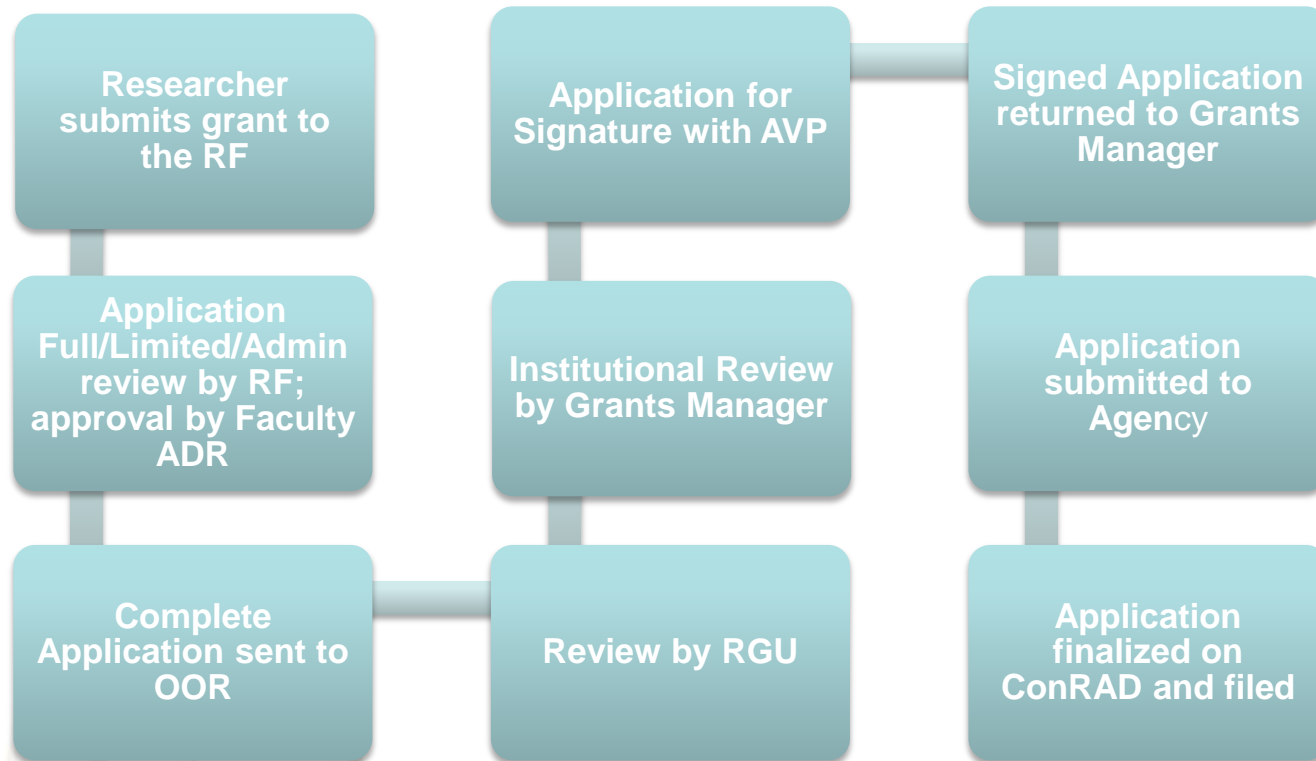
This step is optional, but highly recommended. Research facilitators can assist you with their extensive knowledge of agency guidelines and requirements and will complete a full proposal review.

5 business days prior to external deadline:

Mandatory internal deadline for administrative review

This allows Research Facilitators and other OOR staff to verify any financial or in-kind commitments attached to a proposal, that all agency requirements have been met and that the application is complete. At this point **final and complete** grant applications including CVs and any other attachments must be routed through [ConRAD](#).

Submission Process



Deadlines 2016-2017

SSHRC competitions	Full Review	OOR	Agency
FALL 2016			
Connection Grant	October 18	October 25	November 1
Partnership Development Grant	November 17	November 24	November 30
WINTER/SPRING 2017			
Connection Grant	January 18	January 25	February 1
Partnership Grant (LOI)	February 1	February 8	February 15
Connection Grant	April 17	April 24	May 1

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Thank you!