

**STAT 343**  
Sample Survey Theory and Applications  
*Winter 2017*

**Instructor:** Dr. Debaraj Sen, Office: LB 1041-21 (SGW), Phone: 514-848-2424, Ext. 3241  
E-mail: [debaraj.sen@concordia.ca](mailto:debaraj.sen@concordia.ca)

**Office Hours:** Wednesdays, 11:00-12:30.

**Text:** *Sampling: Design and Analysis*, 2nd Edition, by Sharon L. Lohr, Duxbury Press (2010).

**Reference:** *Sampling Techniques*, 3rd Edition, by William G. Cochran, Wiley (1977).

**Grading** The final grade will be based on the following three components:

**Scheme:**

- (a) Assignments (20%)
- (b) Midterm Test (32%)
- (c) Final Exam. (48%)

**NOTE:** It is the Department's policy that tests missed for any reason, *including illness*, cannot be made up. If you miss the midterm test because of illness (*to be confirmed by a valid medical note*), the final exam can count for 80% of your final grade.

**IMPORTANT:** PLEASE NOTE THAT THERE IS NO "100% FINAL EXAM" OPTION IN THIS COURSE.

**Notes:**

- a. The midterm will take place in class on **Thursday, March 7, 2017.**
- b. Midterm test will cover until weeks 7 inclusively.**
- c. There will be no make-up tests.
- d. The final examination will cover everything taught in the course.
- e. Assignments will be handed bi-weekly and collected in class.
- f. Late assignments will not be accepted.
- g. There are no supplemental privileges in this course.

| Weeks   | Chapters  |
|---------|---|
| 1       | <b>Chapter 1: Introduction</b><br>Why use surveys?<br>What is a good survey?<br>Basic terminology for survey, sampling<br>Sources of error  |
| 2 & 3   | <b>Chapter 2: Simple Probability Samples</b><br>Definitions of Probability sampling<br>Simple Random Sampling<br>Estimation of means and totals<br>Estimation of proportions<br>Sampling weights<br>Confidence Intervals<br>Determining sample size<br>Systematic Sampling<br>Randomization theory results for SRS<br>Prediction Approach for SRS<br>Use an SRS |
| 4 & 5   | <b>Chapter 3: Stratified Sampling</b><br>Definition and theory<br>Sampling weights in Stratified Random Sampling<br>Allocation of sample to strata<br>Defining strata<br>Model based inference for Stratified Random Sampling<br>Quota sampling   |
| 6 & 7   | <b>Chapter 4: Ratio and Regression Estimation</b><br>Estimation of a ratio<br>Ratio estimation of a mean or total<br>Regression estimation of a mean or total<br>Ratio estimation with Stratified Samples<br><b>Mid-Term Test</b>   |
| 8 & 9   | <b>Chapter 5: Cluster Sampling with Equal probabilities</b><br>Definition and notation<br>One-Stage Cluster Sampling<br>Clusters of equal sizes<br>Clusters of unequal sizes<br>Two-stage cluster sampling<br>Designing a Cluster Sample<br>Systematic sampling   |
| 10 & 11 | <b>Chapter 6: Sampling with Unequal Probabilities</b><br>One-stage sampling with replacement.<br>Two-stage sampling with replacement.<br>Unequal probability sampling without replacement<br>Randomization theory results and proofs  |
| 12 & 13 | <b>Chapter 8: Non-response &amp; Review</b><br>Effect of non-response in samples<br>Designing Surveys to reduce non-response errors<br>Weighting for differential non-response<br>Imputation for non-response<br>Parametric models for Nonresponse  |